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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s):

Davies et al.

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SERIAL NO.:

09/852,477

ART UNIT:

2875

FILING DATE:

5/10/01

EXAMINER:

TITLE:

INCANDESCENT LAMP FOR USE IN HIGH EFFICIENCY ILLUMINATION

SYSTEMS

ATTORNEY

DOCKET NO .:

LD11412

Commissioner of Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(3))

Sir:

This information disclosure statement is being filed before the mailing of a first Office Action on the merits.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application. Applicants' Attorney is aware of the following patents:

U.S. Patent No. 5,250,873, issued October 5, 1993

U.S. Patent No. 5,268,613, issued December 7, 1993

EP 0 465 198 A2, published January 8, 1992

DE 41 33 077 Al, published April 15, 1993

DE 212 843, published August 22, 1984

GB 879 062, published October 4, 1991

Copies of these patents are enclosed together with a Form PTO-

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 09-0470.

Respectfully submitted,

Henry I. Steckles

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, Washington, D.C. 20231.

Date: 1/18/02

Signature:

erson Making Deposit

on 18,7002

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Page No.: 1 of: 1

INFORMATION DISCLOSURE CITATION FORM FOR PATENT APPLICATION (FORM PTO-1449)

Docket No.: LD11412 ----

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Applicant(s): Davies et al.

(Substitute)			Filing Date: 5/10/01, ULLIN 2000 Group: 2875								
U.S. PATENTS											
Initials	Patent Number	Issue Date	Name	-	Class	Sub- class	Filing date				
	5,250,873 5,268,613	10/5/93 12/7/93	McHugh Cunningham		313 313	274 113	12/27/91 7/2/91				
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FOREIGN PATENT DOCUMENTS

Initials	Document Number	Date	Country	Name	Translation? Yes/No/n/a
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	<u>: </u>				
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OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)

Examiner's Signature:

Date Considered:

Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).